

# Diophantine equations

and when to quit trying to solve them

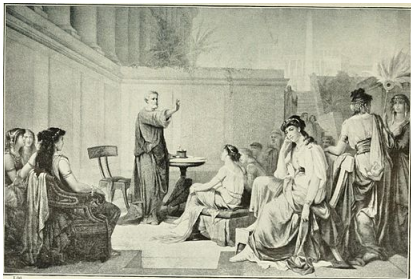
Rachel Newton

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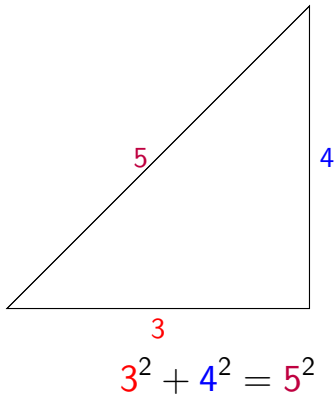
April 2023

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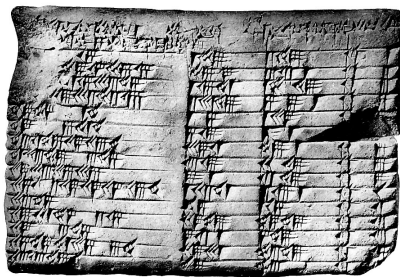
Mathematicians working on Diophantine equations study the integer solutions to polynomial equations with integer coefficients.



E.g. the Pythagorean equation  
 $a^2 + b^2 = c^2$  has the integer  
solution  $a = 3, b = 4, c = 5$ .



# Plimpton 322 (c. 1800 BC)



$$119^2 + 120^2 = 169^2$$

$$3367^2 + 3456^2 = 4825^2$$

$$4601^2 + 4800^2 = 6649^2$$

$$12709^2 + 13500^2 = 18541^2$$

# Rational points on algebraic varieties

Let  $f(x_1, \dots, x_n) \in \mathbb{Q}[x_1, \dots, x_n]$ .

Let  $X/\mathbb{Q}$  be the variety defined by  $f(x_1, \dots, x_n) = 0$ .

The set of **rational points** on  $X$  is

$$X(\mathbb{Q}) = \{(x_1, \dots, x_n) \in \mathbb{Q}^n \mid f(x_1, \dots, x_n) = 0\}.$$

E.g.  $(0, 1)$  is a rational point on the unit circle  $x^2 + y^2 - 1 = 0$ .

# Searching for rational points



Rachel Newton

# Using $\mathbb{R}$ to prove that no rational points exist

Let  $X/\mathbb{Q}$  be an algebraic variety.

$$X(\mathbb{Q}) \subset X(\mathbb{R})$$

so

$$X(\mathbb{R}) = \emptyset \implies X(\mathbb{Q}) = \emptyset.$$

$X(\mathbb{R})$  is easier to deal with than  $X(\mathbb{Q})$  because  $\mathbb{R}$  is complete.

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$$X(\mathbb{R}) \neq \emptyset \not\Rightarrow X(\mathbb{Q}) \neq \emptyset.$$

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$$\mathbb{R} = \frac{\{\text{Cauchy sequences in } \mathbb{Q} \text{ with respect to } |\cdot|\}}{\{\text{sequences in } \mathbb{Q} \text{ converging to } 0 \text{ with respect to } |\cdot|\}}$$

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i.e.  $\mathbb{R}$  is the completion of  $\mathbb{Q}$  with respect to  $|\cdot|$ .

E.g.  $\pi$  can be represented by the Cauchy sequence

$$3, 3.1, 3.14, 3.141, 3.1415, 3.14159, \dots$$

or by

$$3 + \frac{1}{1}, 3.1 + \frac{1}{2}, 3.14 + \frac{1}{3}, 3.141 + \frac{1}{4}, 3.1415 + \frac{1}{5}, 3.14159 + \frac{1}{6}, \dots$$

# Entering the $p$ -adic world

Let  $p$  be a prime. Define the  $p$ -adic absolute value  $|\cdot|_p$  on  $\mathbb{Q}$  by

$$\left| p^r \frac{a}{b} \right|_p = p^{-r}$$

where  $r, a, b \in \mathbb{Z}$  and  $p \nmid a, b$ . We also set  $|0|_p = 0$ .

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E.g.  $5, 5^2, 5^3, 5^4, \dots \rightarrow 0$  with respect to  $|\cdot|_5$ .

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E.g.  $5, 5^2, 5^3, 5^4, \dots \rightarrow 0$  with respect to  $|\cdot|_5$ .

$1, 11, 111, 1111, 11111, \dots \rightarrow -1/9$  with respect to  $|\cdot|_5$ .

# The $p$ -adic numbers

$$\mathbb{Q}_p = \frac{\{\text{Cauchy sequences in } \mathbb{Q} \text{ with respect to } |\cdot|_p\}}{\{\text{sequences in } \mathbb{Q} \text{ converging to } 0 \text{ with respect to } |\cdot|_p\}}$$

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i.e.  $\mathbb{Q}_p$  is the completion of  $\mathbb{Q}$  with respect to  $|\cdot|_p$ .

Concretely, elements of  $\mathbb{Q}_p$  look like

$$\sum_{n=N}^{\infty} a_n p^n, \quad a_n \in \{0, 1, \dots, p-1\}, \quad N \in \mathbb{Z}.$$

# The Hasse principle

$$X(\mathbb{Q}) \subset X(\mathbb{R}) \times \prod_p X(\mathbb{Q}_p) = X(\mathbb{A}_{\mathbb{Q}})$$

$$Q \mapsto (Q, Q, Q, Q, Q, \dots).$$



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## Definition

If “ $\Leftarrow$ ” holds, we say the **Hasse principle** holds.

# The Hasse–Minkowski Theorem

## Theorem (Hasse–Minkowski)

*The Hasse principle holds for quadratic forms. I.e. a quadratic form over  $\mathbb{Q}$  has a non-trivial zero over  $\mathbb{Q}$  iff it has non-trivial zeros over  $\mathbb{R}$  and over  $\mathbb{Q}_p$  for all primes  $p$ .*

# A counterexample to the Hasse principle

## Example (Lind, Reichardt)

The curve

$$C : 2y^2 = x^4 - 17z^4$$

has points over  $\mathbb{R}$  and over  $\mathbb{Q}_p$  for all  $p$  but no points over  $\mathbb{Q}$ .

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How do we prove that  $C(\mathbb{Q}) = \emptyset$ ?

We need a tool that combines information at different primes.

# Hilbert symbols

Let  $a, b \in \mathbb{Q}_p \setminus \{0\}$ . Define the Hilbert symbol  $(a, b)_p$  as follows:

$$(a, b)_p = \begin{cases} 1/2 & \text{if } as^2 + bt^2 = u^2 \text{ has no nontrivial solution over } \mathbb{Q}_p; \\ 0 & \text{otherwise.} \end{cases}$$

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Similarly, for  $a, b \in \mathbb{R} \setminus \{0\}$ , write

$$(a, b)_\infty = \begin{cases} 1/2 & \text{if } as^2 + bt^2 = u^2 \text{ has no nontrivial solution over } \mathbb{R}; \\ 0 & \text{otherwise.} \end{cases}$$



## Theorem (equivalent to quadratic reciprocity)

Let  $a, b \in \mathbb{Q} \setminus \{0\}$ . Then

$$\sum_{p \leq \infty} (a, b)_p \in \mathbb{Z}.$$

## Returning to Lind and Reichardt's counterexample

Recall the curve

$$C : 2y^2 = x^4 - 17z^4.$$

The symbol  $(y, 17)$  can be evaluated at a  $\mathbb{Q}_p$ -point or an  $\mathbb{R}$ -point of  $C$  to give a Hilbert symbol.

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E.g. let  $Q = (\sqrt{2}, \sqrt{2}, 0) \in C(\mathbb{R})$ . Then

$$(y, 17)(Q) = (y_Q, 17)_\infty = (\sqrt{2}, 17)_\infty.$$

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Note that

$$\sqrt{2}s^2 + 17t^2 = u^2$$

has the solution  $(0, 1, \sqrt{17})$  over  $\mathbb{R}$ . Therefore,  $(\sqrt{2}, 17)_\infty = 0$ .

## Returning to Lind and Reichardt's counterexample

One can show that for any  $Q \in C(\mathbb{Q})$ , we have

$$(y_Q, 17)_\infty = 0 \text{ and } (y_Q, 17)_p = 0 \text{ for all } p \neq 17.$$

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E.g.  $\sqrt{17} \in \mathbb{Q}_{13}$  so

$$y_Q s^2 + 17t^2 = u^2$$

has the solution  $(0, 1, \sqrt{17})$  over  $\mathbb{Q}_{13}$ . Hence,  $(y_Q, 17)_{13} = 0$ .

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On the other hand, we will show that  $(y_Q, 17)_{17} = 1/2$ . This gives

$$\sum_{p \leq \infty} (y_Q, 17)_p = 1/2 \notin \mathbb{Z},$$

contradicting the reciprocity theorem. This implies that  $C(\mathbb{Q}) = \emptyset$ .

## Proving that $(y_Q, 17)_{17} = 1/2$

Let  $Q = (x_Q, y_Q, z_Q) \in C(\mathbb{Q})$ . So

$$2y_Q^2 = x_Q^4 - 17z_Q^4. \quad (1)$$

Rescaling, we can assume that  $x_Q, y_Q, z_Q \in \mathbb{Z}$ .



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Suppose for contradiction that

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$$2(u/s)^4 \equiv x_Q^4 \pmod{17}.$$

Hence 2 is a 4th power modulo 17. This is a contradiction because the only 4th powers modulo 17 are  $0, \pm 1, \pm 4$ . So  $(y_Q, 17)_{17} = 1/2$ . □

# The Brauer group

The symbol  $(y, 17)$  is an element in the Brauer group of  $C$ , written  $\text{Br } C$ .  
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Let  $X$  be a nice variety and let  $\mathcal{A} \in \text{Br } X$ . For all  $p \leq \infty$ , we have evaluation maps

$$\begin{aligned} X(\mathbb{Q}_p) \times \text{Br } X &\rightarrow \mathbb{Q}/\mathbb{Z} \\ (Q_p, \mathcal{A}) &\mapsto \mathcal{A}(Q_p). \end{aligned}$$

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Recalling that  $X(\mathbb{A}_{\mathbb{Q}}) = \prod_{p \leq \infty} X(\mathbb{Q}_p)$ , we obtain a pairing

$$\begin{aligned} X(\mathbb{A}_{\mathbb{Q}}) \times \text{Br } X &\rightarrow \mathbb{Q}/\mathbb{Z} \\ ((Q_p)_{p \leq \infty}, \mathcal{A}) &\mapsto \sum_{p \leq \infty} \mathcal{A}(Q_p). \end{aligned}$$

# Brauer–Manin obstructions

**Key observation (Manin, 1970):**

$$X(\mathbb{Q}) \subset \left\{ (Q_p)_{p \leq \infty} \in X(\mathbb{A}_{\mathbb{Q}}) \mid \forall \mathcal{A} \in \text{Br } X, \sum_{p \leq \infty} \mathcal{A}(Q_p) = 0 \in \mathbb{Q}/\mathbb{Z} \right\}.$$

The set on the right-hand side is the Brauer–Manin set, denoted  $X(\mathbb{A}_{\mathbb{Q}})^{\text{Br}}$ .

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Brauer–Manin obstruction to the Hasse principle

# Computing the Brauer–Manin set

To compute  $X(\mathbb{A}_{\mathbb{Q}})^{\text{Br}}$ , for each  $\mathcal{A} \in \text{Br } X$  we need to understand how  $\mathcal{A}(Q_p)$  varies as  $Q_p$  varies in  $X(\mathbb{Q}_p)$ .

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For example, if  $\mathcal{A}$  has order  $n$  then  $\mathcal{A}(Q_p) \in \frac{1}{n}\mathbb{Z}/\mathbb{Z} \subset \mathbb{Q}/\mathbb{Z}$ .

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If for some  $p$  all values in  $\frac{1}{n}\mathbb{Z}/\mathbb{Z}$  are attained then  $X(\mathbb{A}_{\mathbb{Q}})^{\mathcal{A}} \neq \emptyset$ ,  
i.e.  $\mathcal{A}$  **does not obstruct the Hasse principle**.

# Computing the Brauer–Manin set

Let  $Q_p \in X(\mathbb{Q}_p)$ .

- If  $\mathcal{A}$  has order coprime to  $p$  then  $\mathcal{A}(Q_p)$  only depends on  $Q_p \bmod p$ .

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- If  $\mathcal{A}$  has order coprime to  $p$  then  $\mathcal{A}(Q_p)$  only depends on  $Q_p \bmod p$ .
- If  $\mathcal{A}$  has order  $p$  then  $\mathcal{A}(Q_p)$  could depend on  $Q_p \bmod p^2$  or  $\bmod p^3$  etc.

Bright–N., 2020

For  $\mathcal{A} \in \text{Br } X$  of order  $p$ , we:

- calculate  $m$  such that  $\mathcal{A}(Q_p)$  only depends on  $Q_p \bmod p^m$
- show that  $\mathcal{A}(Q_p)$  varies linearly on discs of points that are the same mod  $p^{m-1}$ ...
- ...unless  $p \mid m$ , when variation can be quadratic



# Which primes can be involved in the Brauer–Manin obstruction?

Let  $\mathcal{A} \in \text{Br } X$ .

## Question (Swinnerton-Dyer, 2010)

Suppose that  $\text{Pic } \bar{X}$  is torsion-free. Let  $p$  be a prime of good reduction for  $X$  (i.e.  $X \bmod p$  is smooth). Is  $\mathcal{A}(Q_p)$  constant as  $Q_p$  varies in  $X(Q_p)$ ?



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Equivalently, let  $S = \{\text{primes of bad reduction}\} \cup \{\infty\}$ . Does

$$X(\mathbb{A}_{\mathbb{Q}})^{\text{Br}} = Z \times \prod_{p \notin S} X(\mathbb{Q}_p),$$

where  $Z \subset \prod_{p \in S} X(\mathbb{Q}_p)$ ?

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**Does the Brauer–Manin obstruction involve only primes of bad reduction and infinite primes?**

# Which primes can be involved in the Brauer–Manin obstruction?

## Theorem (Bright–N., 2020)

*If  $H^0(X, \Omega_X^2) \neq 0$  then every prime of good ordinary reduction is involved in a Brauer–Manin obstruction over some extension of the base field.*

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## Theorem (Margherita Pagano, 2021)

Let

$$X : x^3y + y^3z + z^3w + w^3x + xyzw = 0$$

*and let  $\mathcal{A} = \left( \frac{z^3 + w^2x + xyz}{x^3}, \frac{-z}{x} \right) \in \text{Br } X$ . Then 2 is a prime of good reduction for  $X$  and  $\mathcal{A}(Q_2)$  is not constant as  $Q_2$  varies in  $X(\mathbb{Q}_2)$ .*

- 2021 – present Reader in Number Theory at KCL
- 2016 – 2021 Lecturer then Assoc. Prof. at Reading
- 2012 – 2015 Postdoc at Leiden, MPIM Bonn, IHÉS
- 2008 – 2012 PhD Cambridge
- 2007 – 2008 Part III Cambridge
- 2004 – 2007 BSc Warwick

## Some things I learnt during my career

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- Research is the primary criterion  
(for academic teaching and research jobs)
- Be strategic re teaching experience
  - Check out the Nesin Maths Village!
- Give good, comprehensible talks and lots of them. Ask for honest feedback.
  - Any talk in Germany is potentially a job talk  
(this may also apply elsewhere)
- Talk to big shots at conferences



## Some more things I learnt during my career

---

- The sniper versus the scattergun approach to job applications
  - Write to people you want to work with, even if nothing is advertised
  - Don't waste time on pointless applications
- Play the long game
  - Prestige can be a means to an end
- Money matters
  - When interviewing for permanent/tenure track jobs, find out about the funding landscape in that country so you can talk about the grants you intend to apply for if you get the job